

ATTORNEY DOCKET NO.  
11321-P012USD10



PATENT  
SERIAL NO. 10/033,228

1754

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1754

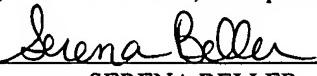
Serial No.: 10/033,228

Filed: December 28, 2001

Title: METHOD FOR PRODUCING SELF-  
ASSEMBLED OBJECTS COMPRISING SINGLE-  
WALL CARBON NANOTUBES AND  
COMPOSITIONS THEREOF

CERTIFICATE OF MAILING

I hereby certify that this Supplemental Information Disclosure Statement with SB/08 A-B (Form 1449) and 2 references being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 15, 2004.



SERENA BELLER

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

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**11321-P012USD10**

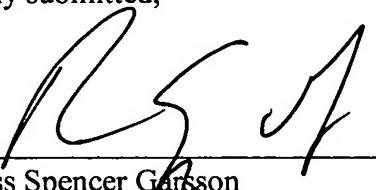
**PATENT**  
**SERIAL NO. 10/033,228**

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Applicants believe that the mailing of a first Office Action on the merits has not occurred in this Application. Furthermore, each item of information contained in this Supplemental to Resubmitted Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental to Resubmitted Information Disclosure Statement. Accordingly, Applicants believe that no fee is due at this time. 37 C.F.R. § 1.97(b) and (e)(1). However, the Assistant Commissioner is hereby authorized to charge any fees to the Deposit Account No. 23-2426 (11321-P012USD10).

Respectfully submitted,

By: \_\_\_\_\_

  
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260650v.1



In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR EXAMINER  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Serial No.: 10/033,228  
Applicants: Richard E. Smalley et al.  
Filing Date: December 28, 2001  
Group: 1754  
Atty. Docket No.: 11321-P012USD10

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						
ABA						
ACA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

AEA

STEPHAN et al. "Doping Graphitic and Carbon Nanotube Structures with Boron and Nitrogen," *Science*, Vol. 266, pp. 1683-1685, December 1994.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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